

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 24707.0002

First Inventor Donnie Gross

Title ELECTRONIC MONITORING OF ACTIVITIES PERFORMED AT A  
CABLE TELEVISION TAP

Express Mail Label No.

| APPLICATION ELEMENTS   |                                     | ADDRESS TO:   |                                   |
|--|-------------------------------------|---|-----------------------------------|
| See MPEP chapter 600 concerning utility patent application contents.   |                                     |   |                                   |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)<br><i>(Submit an original and a duplicate for fee processing)</i>  |                                     | Assistant Commissioner for Patents<br>Box Patent Application<br>Washington, DC 20231  |                                   |
| 2. <input type="checkbox"/> Applicant claims small entity status.<br><i>See 37 CFR 1.27.</i>   |                                     | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or<br>Computer Program (Appendix)  |                                   |
| 3. <input checked="" type="checkbox"/> Specification [Total Pages 19]<br><i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"> <li>- Descriptive title of the Invention</li> <li>- Cross Reference to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (<i>if filed</i>)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> |                                     | 8. Nucleotide and/or Amino Acid Sequence Submission<br><i>(if applicable, all necessary)</i> <ol style="list-style-type: none"> <li><input type="checkbox"/> Computer Readable Form (CRF)</li> <li>Specification Sequence Listing on:               <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> paper</li> </ul> </li> <li><input type="checkbox"/> Statements verifying identity of above copies</li> </ol> |                                   |
| 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C.113) [Total Sheets 4]  |                                     | ACCOMPANYING APPLICATIONS PARTS   |                                   |
| 5. Oath or Declaration [Total Pages 1] <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Newly executed (original or copy)</li> <li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d))<br/> <i>(for a continuation/divisional with Box 18 completed)</i> <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br/> <i>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</i></li> </ul> </li> </ul>  |                                     | 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))   |                                   |
| 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76  |                                     | 10. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of<br><i>(when there is an assignee)</i> Attorney  |                                   |
| 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: <ul style="list-style-type: none"> <li><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP)</li> <li>of prior application No. _____ /</li> <li>Prior application information: Examiner _____ Group / Art Unit: _____</li> </ul>   |                                     | 11. <input type="checkbox"/> English Translation Document ( <i>if applicable</i> )  |                                   |
| For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.   |                                     | 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations   |                                   |
|  |                                     | 13. <input type="checkbox"/> Preliminary Amendment  |                                   |
|  |                                     | 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br><i>(Should be specifically itemized)</i>  |                                   |
|  |                                     | 15. <input type="checkbox"/> Certified Copy of Priority Document(s)<br><i>(if foreign priority is claimed)</i>  |                                   |
|  |                                     | 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.   |                                   |
|  |                                     | 17. <input type="checkbox"/> Other: _____   |                                   |
| 19. CORRESPONDENCE ADDRESS   |                                     |   |                                   |
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label  |                                     | 23517<br><i>(Insert Customer No. or Attach bar code label here)</i>   |                                   |
|  |                                     | or <input type="checkbox"/> Correspondence address below  |                                   |
| Name   | Edward A. Pennington, Esq.          |   |                                   |
|  | Swidler Berlin Shreff Friedman, LLP |   |                                   |
| Address  | 3000 K Street, N.W.                 |   |                                   |
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| Country  | US                                  | Telephone   | Zip Code                          |
|  | 202 424-7500                        |   | Fax                               |
|  |                                     |   | 202 295-8478                      |
| Name (Print/Type)  | Chadwick A. Jackson                 |   | Registration No. (Attorney/Agent) |
| Signature  |                                     |   | Date                              |
|  |                                     |   | October 3, 2003                   |

16834 USPTO  
10/677295

100303  
14202 U.S.PTO

# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 403

## Complete if Known

|                      |                 |
|----------------------|-----------------|
| Application Number   | TBD             |
| Filing Date          | October 3, 2003 |
| First Named Inventor | Donnie Gross    |
| Examiner Name        | TBD             |
| Art Unit             | TBD             |
| Attorney Docket No.  | 24707.0002      |

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money  Other  None  
Order
 Deposit Account:

Deposit Account Number

Deposit Account Name

## The Director is authorized to: (check all that apply)

 Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large Entity | Small Entity  | Fee Description | Fee Paid |
|--------------|---------------|-----------------|----------|
| Fee Code     | Fee Code (\$) | Fee (\$)        |          |
| 1001         | 770           | 2001            | 385      |
| 1002         | 340           | 2002            | 170      |
| 1003         | 530           | 2003            | 265      |
| 1004         | 770           | 2004            | 385      |
| 1005         | 160           | 2005            | 80       |
| SUBTOTAL (1) |               |                 | (\$ 385) |

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

|                    | Extra Claims | Fee from below | Fee Paid                                |
|--------------------|--------------|----------------|---|
| Total Claims       | 22           | -20 **         | = 2 <input type="text" value="9"/> = 18 |
| Independent Claims | 2            | -3 **          | = 0 <input type="text" value="0"/> = 0  |
| Multiple Dependent |              |                | X <input type="text" value="0"/> = 0    |

| Large Entity | Small Entity  | Fee Description |     |
|--------------|---------------|-----------------|-----|
| Fee Code     | Fee Code (\$) | Fee (\$)        |     |
| 1202         | 18            | 2202            | 9   |
| 1201         | 86            | 2201            | 43  |
| 1203         | 290           | 2203            | 145 |
| 1204         | 86            | 2204            | 43  |
| 1205         | 18            | 2205            | 9   |
| SUBTOTAL (2) |               | (\$ 18)         |     |

\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Small Entity

| Fee Code                          | Fee (\$) | Fee Code | Fee (\$) | Fee Description  | Fee Paid             |
|-----------------------------------|----------|----------|----------|--|----------------------|
| 1051                              | 130      | 2051     | 65       | Surcharge - late filing fee or oath  | <input type="text"/> |
| 1052                              | 50       | 2052     | 25       | Surcharge - late provisional filing fee or cover sheet                     | <input type="text"/> |
| 1053                              | 130      | 1053     | 130      | Non-English specification  | <input type="text"/> |
| 1812                              | 2,520    | 1812     | 2,520    | For filing a request for reexamination                                     | <input type="text"/> |
| 1804                              | 920*     | 1804     | 920*     | Requesting publication of SIR prior to Examiner action                     | <input type="text"/> |
| 1805                              | 1,840*   | 1805     | 1,840*   | Requesting publication of SIR after Examiner action                        | <input type="text"/> |
| 1251                              | 110      | 2251     | 55       | Extension for reply within first month                                     | <input type="text"/> |
| 1252                              | 420      | 2252     | 210      | Extension for reply within second month                                    | <input type="text"/> |
| 1253                              | 950      | 2253     | 475      | Extension for reply within third month                                     | <input type="text"/> |
| 1254                              | 1,480    | 2254     | 740      | Extension for reply within fourth month                                    | <input type="text"/> |
| 1255                              | 2,010    | 2255     | 1,005    | Extension for reply within fifth month                                     | <input type="text"/> |
| 1401                              | 330      | 2401     | 165      | Notice of Appeal   | <input type="text"/> |
| 1402                              | 330      | 2402     | 165      | Filing a brief in support of an appeal                                     | <input type="text"/> |
| 1403                              | 290      | 2403     | 145      | Request for oral hearing   | <input type="text"/> |
| 1451                              | 1,510    | 1451     | 1,510    | Petition to institute a public use proceeding                              | <input type="text"/> |
| 1452                              | 110      | 2452     | 55       | Petition to revive – unavoidable   | <input type="text"/> |
| 1453                              | 1,330    | 2453     | 665      | Petition to revive – unintentional   | <input type="text"/> |
| 1501                              | 1,330    | 2501     | 665      | Utility issue fee (or reissue)   | <input type="text"/> |
| 1502                              | 480      | 2502     | 240      | Design issue fee   | <input type="text"/> |
| 1503                              | 640      | 2503     | 320      | Plant issue fee  | <input type="text"/> |
| 1460                              | 130      | 1460     | 130      | Petitions to the Commissioner  | <input type="text"/> |
| 1807                              | 50       | 1807     | 50       | Processing fee under 37 CFR 1.17 (q)                                       | <input type="text"/> |
| 1806                              | 180      | 1806     | 180      | Submission of Information Disclosure Stmt                                  | <input type="text"/> |
| 8021                              | 40       | 8021     | 40       | Recording each patent assignment per property (times number of properties) | <input type="text"/> |
| 1809                              | 770      | 2809     | 385      | Filing a submission after final rejection (37 CFR § 1.129(a))              | <input type="text"/> |
| 1810                              | 770      | 2810     | 385      | For each additional invention to be examined (37 CFR § 1.129(b))           | <input type="text"/> |
| 1801                              | 770      | 2801     | 385      | Request for Continued Examination (RCE)                                    | <input type="text"/> |
| 1802                              | 900      | 1802     | 900      | Request for expedited examination of a design application                  | <input type="text"/> |
| Other fee (specify)               |          |          |          |  |                      |
| *Reduced by Basic Filing Fee Paid |          |          |          |  |                      |
| SUBTOTAL (3) (\$ 0)               |          |          |          |  |                      |

## SUBMITTED BY

## Complete (if applicable)

|                   |                     |                                   |        |           |                 |
|-------------------|---------------------|-----------------------------------|--------|-----------|-----------------|
| Name (Print/Type) | Chadwick A. Jackson | Registration No. (Attorney/Agent) | 46,495 | Telephone | 202 424-7500    |
| Signature         |                     |                                   |        | Date      | October 3, 2003 |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.